

RegFlex from Inforalgo:

Easing the
complexity of
Consolidated
Audit Trail (CAT)
compliance with
Inforalgo's Bureau
service



Overview

RegFlex™ from Inforalgo offers all industry participants dealing US Equities and Options the opportunity to outsource the burden of establishing and maintaining connectivity to FINRA CAT for order reporting.

Inforalgo has provided connectivity to enable STP (Straight Through Processing) for multi-asset class trading and transaction reporting for over 30 years. RegFlex for CAT compliance™ is a new solution using the same blend of expertise and technology to give you peace of mind in maintaining cost-effective compliance at all times.

Inforalgo, is already an established Service Bureau with FINRA CAT and that means we can ingest and consolidate order data in real time from your trading environment automatically mapping and submitting orders to CAT. This negates the need to use the CAT Reporting Portal which relies on manual re-keying and submission of the order information, a process that takes considerable time and which is prone to input and mapping errors.

The CAT connectivity challenge:

| Challenge: | RegFlex solution |
|--|---|
| Data consolidation and harmonisation of different message specifications Internal system interoperability | Inforalgo have been doing this for 30 years |
| A mixture of FIX and proprietary API's Unification of different formats | Inforalgo are experts in FIX and proprietary API's |
| Tight timelines for testing and live reporting Testing due to start in December 2019 | Our team is primed to help you through the process |
| Dedicated connectivity requirements for FINRA CAT with high cost and long lead time Limited options and expertise required | As a registered Service Bureau we are ready to go! |
| Large volume of data to ingest and map to CAT reportable events Complexity and mappings | We ingest, normalize and link together multiple, disparate data sets for our clients on a daily basis already |
| Exception management for re-submission T+3 Dealing with exceptions and re-submissions | Inforalgo provide a (UI) User Interface to fully manage the process |

We've created a FREE eBook on CAT, to get yours [click here!](#)

Or, book your free CAT consultation today by getting in touch with the team [here](#).

You can also find more information on our [CAT webpage](#).

*Inforalgo is an authorised CAT reporting agent and connectivity hub (Service Bureau)